

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
16997

In Re: Application of **Kazuhiko Arai, et al.**

Serial No.  
10/650,590

Filing Date  
August 28, 2003

Examiner  
Unassigned

Group Art Unit  
Unassigned

Title: **CALIBRATION PATTERN UNIT**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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**CALIBRATION PATTERN UNIT**



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013 SSMP** as described below.
- ☐ Charge the amount of \_\_\_\_\_
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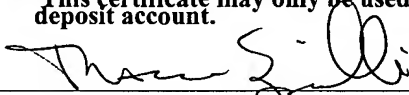
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**Thomas Spinelli**

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**\*This certificate may only be used if paying by deposit account.**

  
*Signature*

Dated: **October 9, 2003**

**Thomas Spinelli, Reg. No. 39,533**  
**Customer No. 23389**

CC:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Kazuhiko Arai, et al.

**Examiner:** Unassigned

**Serial No:** 10/650,590

**Art Unit:** Unassigned

**Filed:** August 28, 2003

**Docket:** 16997

**For:** CALIBRATION PATTERN UNIT

**Dated:** October 9, 2003

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

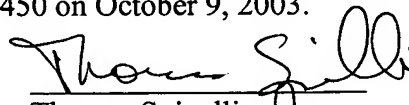
1. Japanese Patent Application KOKAI Publication No. 11-166818, dated June 22, 1999, together with English-language Abstract;
2. Japanese Patent Application KOKAI Publication No. 2001-082941, dated March 30, 2001, together with English-language Abstract; and

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 9, 2003.

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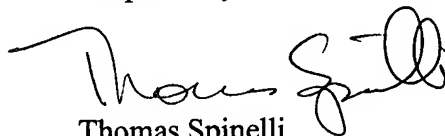
  
Thomas Spinelli

3. Japanese Patent Application KOKAI Publication No. 09-170914, dated June 30, 1997, together with English-language Abstract and Concise Explanation of Pertinency.

Applicants are submitting a copy of the above-cited references. The relevance of the references 1 and 2 has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Thomas Spinelli", with a stylized flourish at the end.

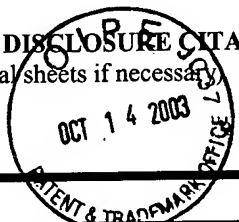
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TS:ae

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

16997

Application Number

10/650,590

Applicant(s)

Kazuhiko Arai, et al.

Filing Date

August 28, 2003

Group Art Unit

unassigned

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		11-166818	6/22/99	Japan			X	
		2001-082941	3/30/01	Japan			X	
		09-170914	6/30/97	Japan			X	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.